

# Serverless Computing

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# Agenda

1. Basics
2. Runtimes
3. Events and Triggers
4. Architecture
5. Networking and Edge
6. Databases, Storage, APIs
7. Stream Processing
8. Performance
9. Software Development

# Agenda

10. Function orchestration and keeping state

11. Economic View

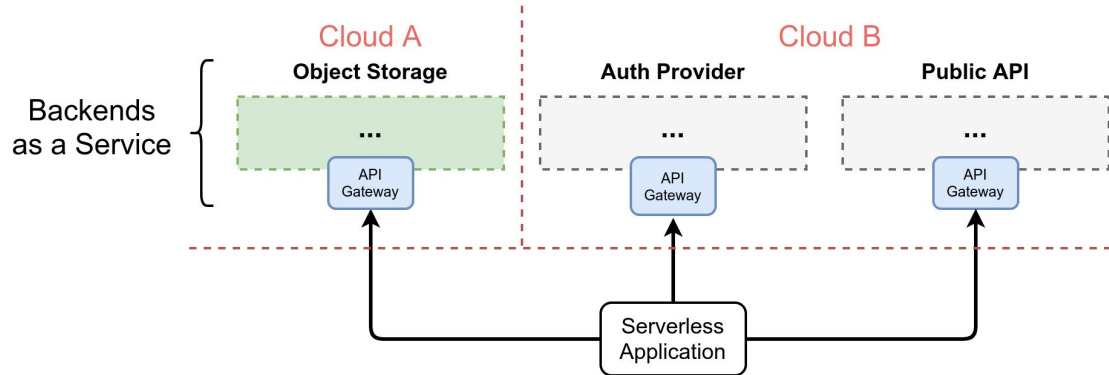
12. Conclusion

# 1. Basics

Introduction and Definitions

# 1.1 What does “Serverless” mean?

## a) Serverless as an Architecture



### Serverless Architecture

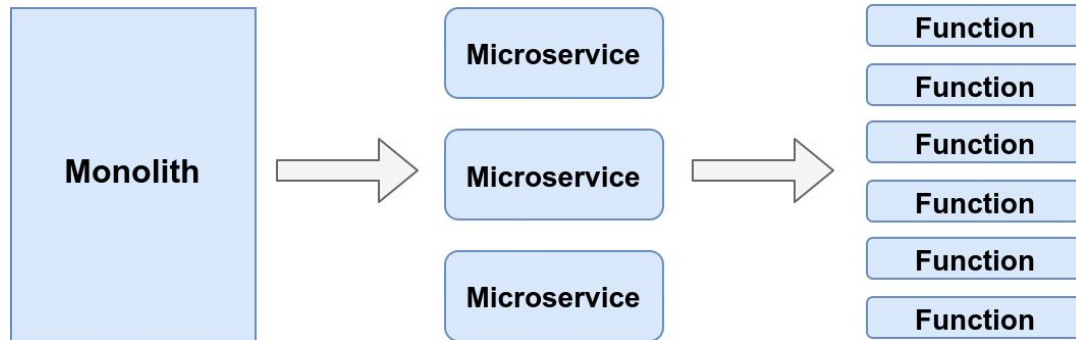
- multiple backends
- might span different cloud providers
- transparent and automatic scaling
- backend servers unknown

## 1.1 What does “Serverless” mean?

b) Serverless as Cloud-computing Execution Model

called “**Function as a Service**”

**Are functions just smaller pieces of Microservices?**

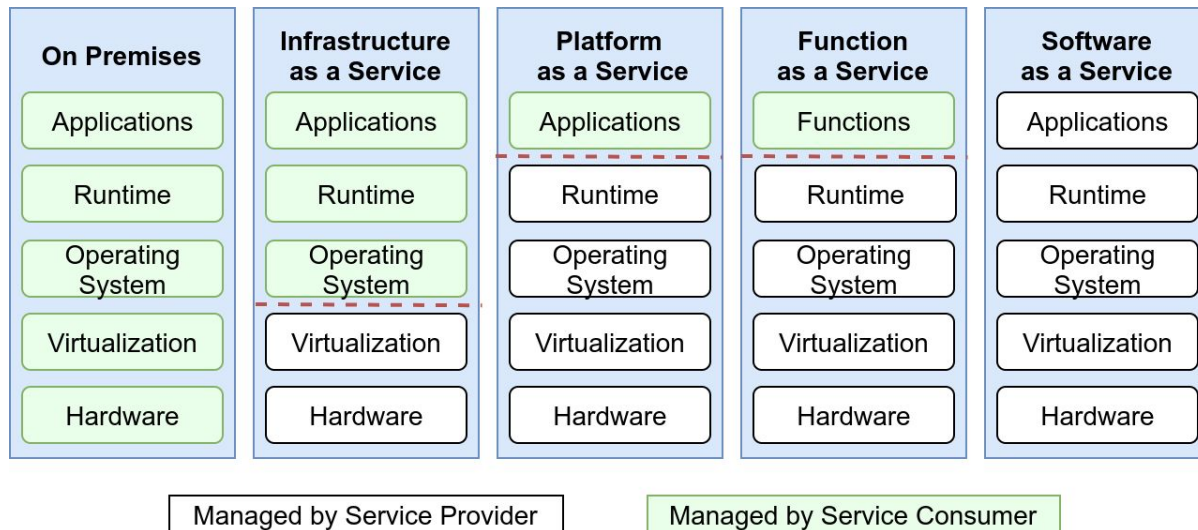


## 1.1 What does “Serverless” mean?

### b) Serverless as Backend Execution Model

called “**Function as a Service**”

**Which are then run on a runtime like usual PaaS Containers?**



## 1.2 FaaS vs. PaaS

**Are functions just smaller pieces of Microservices?**

Yes, but not exactly

**Which are then run on a runtime like usual PaaS Containers?**

### Platform as a Service

- Runs containers (or on other runtimes)
- Long running (usually)
- Stateless or stateful
- Scales by configuration
- Event-driven or permanently running
- Can have side effects

### Function as a Service

- Runs containers (usually)
- Short lived = ephemeral = transient
- Stateless
- Scales automatically
- Event-driven → executed when triggered
- Can have side effects

implicit  
need for  
small size



## 1.2 FaaS vs. PaaS

**How are PaaS and FaaS different if both are containers?**



**adrian cockcroft**  
@adrianco





If your PaaS can efficiently start instances in 20ms that run for half a second, then call it serverless.


[twitter.com/doctor\\_julz/st...](https://twitter.com/doctor_julz/status/1234567890)

## 1.3 AWS Lambda Example

### Functions (0)

Last fetched 17 seconds ago  Actions ▼ Create function

 *Filter by tags and attributes or search by keyword*

< 1 > 

Function name ▼	Description	Package type ▼	Runtime ▼	Code size
There is no data to display.				

## 1.3 AWS Lambda Example

### Create function [Info](#)

Choose one of the following options to create your function.

**Author from scratch** ☒

Start with a simple Hello World example.

**Use a blueprint** ☐

Build a Lambda application from sample code and configuration presets for common use cases.

**Container image** ☐

Select a container image to deploy for your function.

**Browse serverless app repository** ☐

Deploy a sample Lambda application from the AWS Serverless Application Repository.

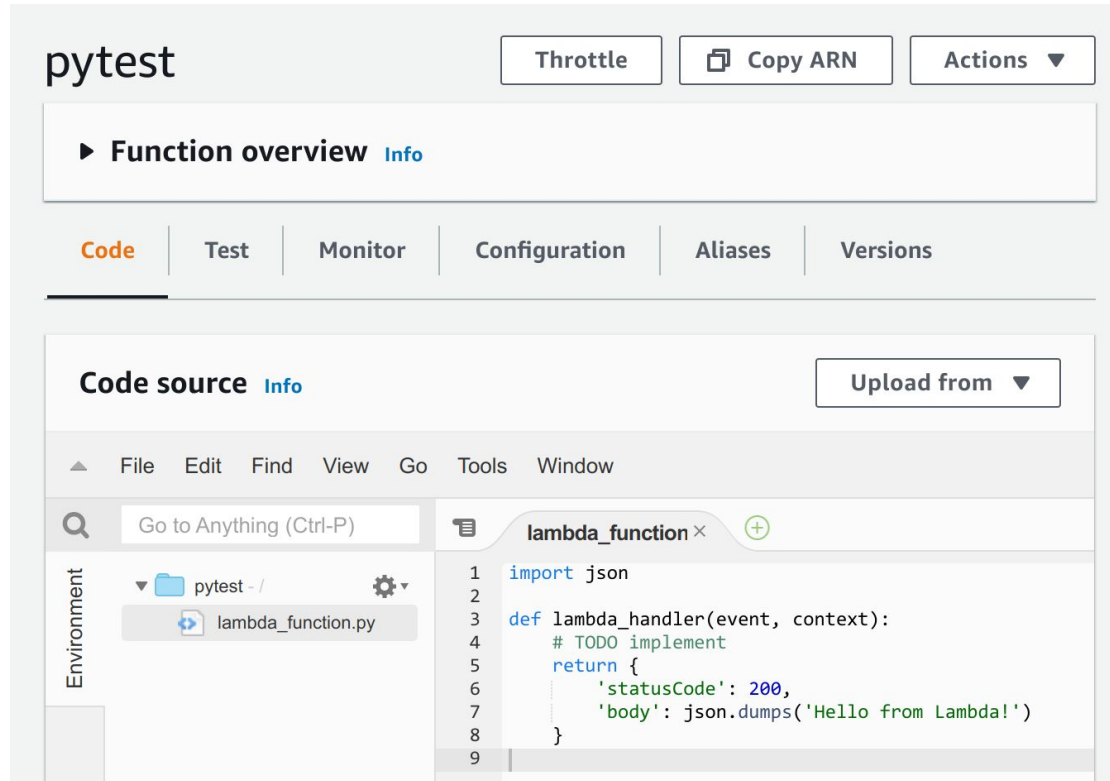
#### Basic information

**Function name**  
Enter a name that describes the purpose of your function.

Use only letters, numbers, hyphens, or underscores with no spaces.

**Runtime** [Info](#)  
Choose the language to use to write your function. Note that the console code editor supports only Node.js, Python, and Ruby.


## 1.3 AWS Lambda Example





## 1.3 AWS Lambda Example


### Add trigger


**Trigger configuration**

 **API Gateway**  
api application-services aws serverless

 **AWS IoT**  
aws devices iot

 **Alexa Skills Kit**  
alexa iot

 **Alexa Smart Home**  
alexa iot

 **Apache Kafka**  
aws stream



#### API Gateway: **test-API**

arn:aws:execute-api:us-east-1:033762176954: / \*/ \*/ pytest

#### ▼ Details

API endpoint: [https://\[redacted\].execute-api.us-east-1.amazonaws.com/default/pytest](https://[redacted].execute-api.us-east-1.amazonaws.com/default/pytest)

API type: **HTTP**

Authorization: **NONE**

Cross-origin resource sharing (CORS): **No**

Enable detailed metrics: **No**

Method: **ANY**

Resource path: **/pytest**

Stage: **default**


## 1.3 AWS Lambda Example


### pytest


ThrottleCopy ARNActions ▼

✓ The trigger test-API was successfully added to function pytest. The function is now receiving events from the trigger. ✕

▼ Function overview [Info](#)

 **pytest**

 Layers (0)

 API Gateway

+ Add trigger

+ Add destination


Description

-

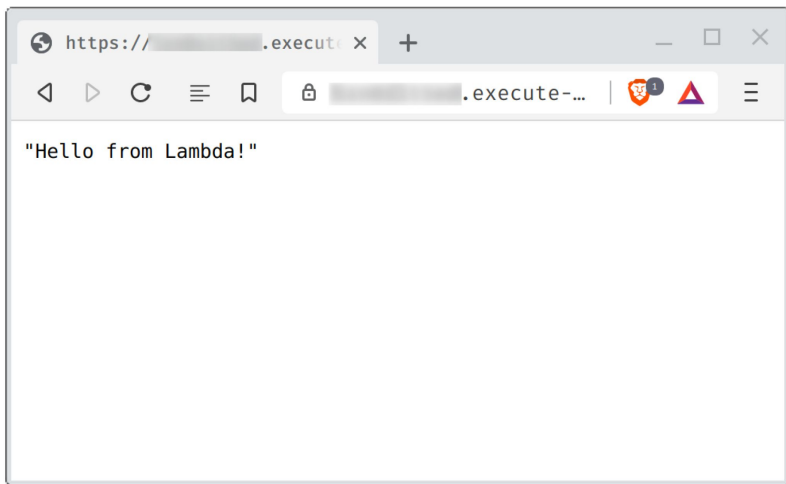
Last modified

3 minutes ago

Function ARN

 arn:aws:lambda:us-east-1:762176954:function:pytest

## 1.3 AWS Lambda Example



### REPORT for **second invocation**:

Duration: 0.89 ms

Billed Duration: 1 ms

("Init Duration" disappeared)

### Function Logs **first invocation**

START RequestId: df51350a-dd12-46dd-95d0-d23ba7c524cd Version: \$LATEST

END RequestId: df51350a-dd12-46dd-95d0-d23ba7c524cd

### REPORT

RequestId: df51350a-dd12-46dd-95d0-d23ba7c524cd

Duration: 1.32 ms

Billed Duration: **2 ms** ← billed

Memory Size: **128 MB** ← billed

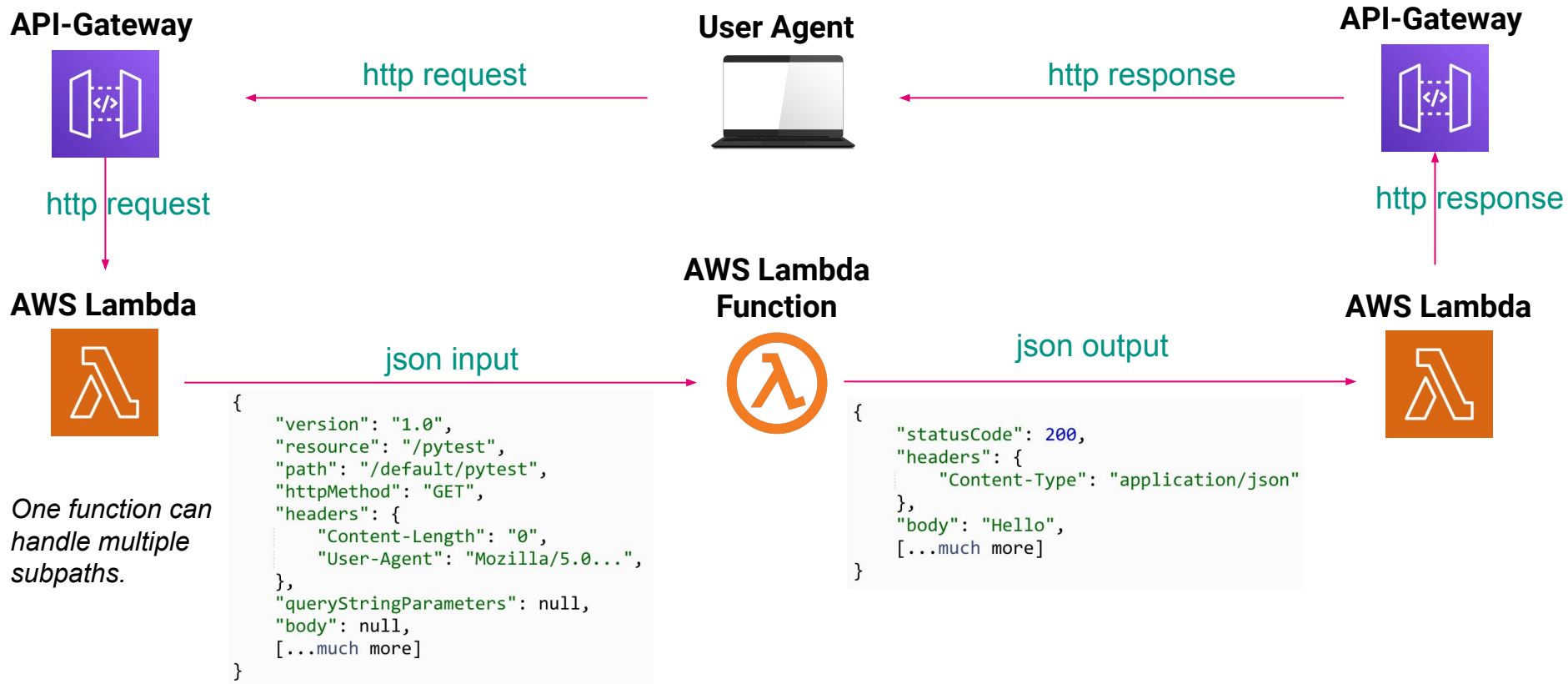
Max Memory Used: **50 MB** ← not billed

Init Duration: **132.34 ms** ← not billed

### Request ID

df51350a-dd12-46dd-95d0-d23ba7c524cd

## 1.3 AWS Lambda Example





## 1.3 AWS Lambda Example

### Event = json Input

```
{  
  "key1": "value1",  
  "key2": "value2",  
  "key3": "value3"  
}
```

### Context

- App Name
- Function Name
- Memory Limit
- Amazon Features (Log Group, etc.)
- Custom Environment variables

```
import json  
  
def lambda_handler(event, context):  
    # TODO implement  
    return {  
        'statusCode': 200,  
        'body': json.dumps('Hello from Lambda!')  
    }
```

← json output

## 1.4 Triggers in Amazon AWS Lambda

*Amazon AWS Lambda is highly integrated into the AWS Service Portfolio, Event Sources include:*

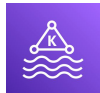
### Invoke functions on Database updates



### Trigger on File manipulations in Object Storage



### Consume real time data streams



Amazon MSK

### Message Queues and Work Queues



Amazon MQ ->



### External Events via Amazon EventBridge



Amazon EventBridge

### Scheduled Events (Cronjob) via CloudWatch Events



Amazon EventBridge CloudWatch

## 1.5 Software and Providers

### Commercial

- Amazon AWS Lambda



- IBM Cloud Functions



- Oracle Cloud Functions



- Google Cloud Functions



- Microsoft Azure Functions



- Cloudflare Workers



- Vercel Cloud Functions



- Tencent Cloud Functions



Tencent Cloud

### Open Source

- OpenWhisk (Apache-2.0 License)



- Fn (Apache-2.0 License)



- Knative (Apache-2.0 License)



- OpenFaaS (MIT License)



OPENFAAS

- Kubeless (Apache-2.0 License)




Kubeless

- Fission (Apache-2.0 License)



fission

High amount of FaaS-providers enables use case for  **serverless framework**  
→ provides common cli and project structure

## 2. Runtimes

and their implementation in OpenWhisk

## 2.1 Serverless Runtimes

### Serverless Runtimes (in OpenWhisk)

- are containers made for starting and shutting down very fast
- each action=function has its own container

### Additional Possibilities

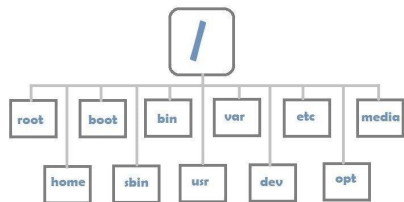
- Run own containers
  - which implement http API
- Run skeleton container
  - own executables
  - shell scripts
  - implements API for us

### Official OpenWhisk Runtimes

- .net
- Go
- Java
- JavaScript
- PHP
- Python
- Ruby
- Swift
- Ballerina
- Rust

## 2.2 Environment from the perspective of a function

### Function sees usual filesystem



(usual container behaviour)

### Executed as Non-Root user



(implementation may differ)

### Networking like any other Kubernetes Pod or Container



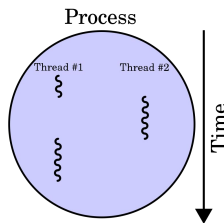
(e.g. AWS VPC with Internet access)

### Execution Time Limit



(function killed if it exceeds limits)

### Threading as usual



### Json input already parsed to native data structure

(statically typed languages  
user experience may vary, e.g. java)

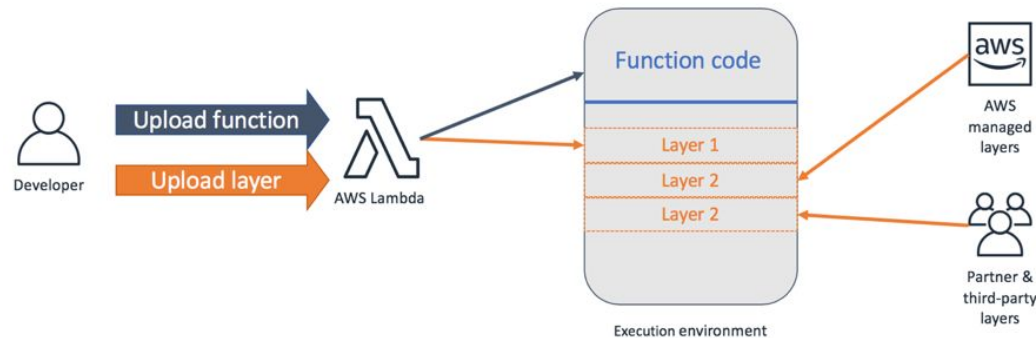
### Some non-standard libraries are present

(for doing http requests, parsing json, etc.)

## 2.3 Extending Runtimes

### Runtimes can be extended

- Add new container layers
- Provide libraries in deployments



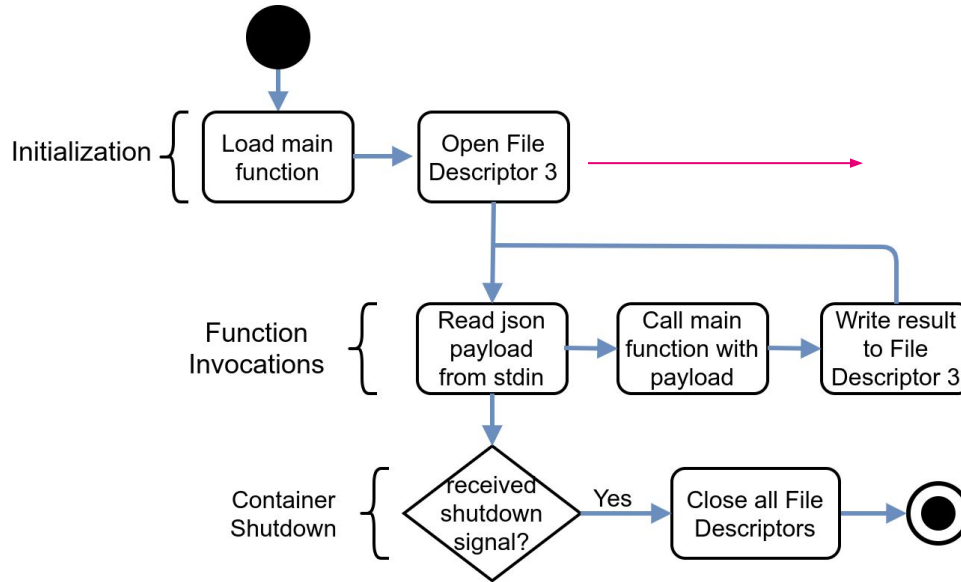
### Extend Python Runtimes in OpenWhisk

- 1) `virtualenv virtualenv`
- 2) `source virtualenv/bin/activate`
- 3) `pip install <dependency>`
- 4) `zip -r helloPython.zip virtualenv __main__.py`
- 5) `wsk action create helloPython --kind python:3 helloPython.zip`

```
faas_project
├── hello.py
└── virtualenv
```

## 2.4 OpenWhisk Python Runtime

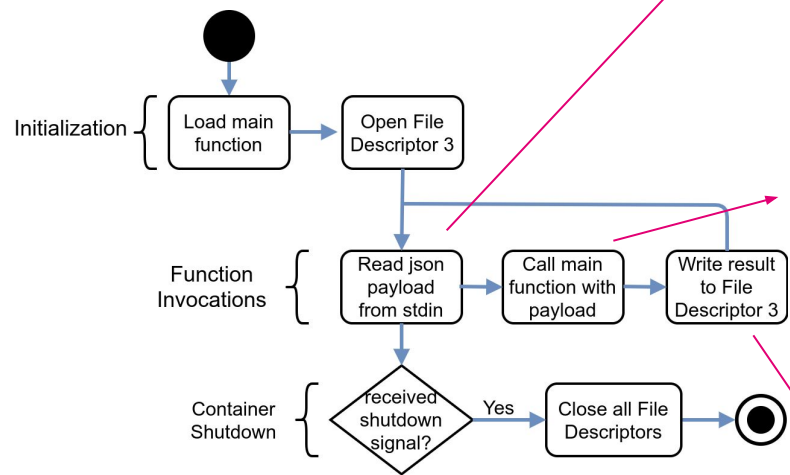
(Code slightly shortened version of the original OpenWhisk Python Runtime Implementation)



```
1 # import the action == function
2 from main__ import main as main
3
4 # Open File Descriptor 3 for output
5 out = fdopen(3, "wb")
6
```



## 2.5 OpenWhisk Python Runtime



```
8 while True:
9     # read line on each invocation
10    line = stdin.readline()
11    if not line: break
12
13    # Parse json input
14    args = json.loads(line)
15    payload = {}
16    for key in args:
17        if key == "value":
18            payload = args["value"]
19        else:
20            env["__OW_%s" % key.upper()] = args[key]
21    res = {}
22
23    # execute the function
24    try:
25        res = main(payload)
26    except Exception as ex:
27        print(traceback.format_exc(), file=stderr)
28        res = {"error": str(ex)}
29
30    # write result to fd 3
31    out.write(json.dumps(res, ensure_ascii=False).encode('utf-8'))
32    out.write(b'\n')
33    stdout.flush()
34    stderr.flush()
35    out.flush()
```

## 2.6 Consequences of OpenWhisks Implementation

### **Consequences of OpenWhisks Implementation**

- Json is already parsed to native data structures
- Deserialization and Serialization means overhead
- One runtime serves exactly one action
- Runtimes can't be reused to serve other actions
- Reexecuting same function is fast (warm start)
- First execution is delayed (cold start)

## 2.7 Limitations

### Introduced Problem:

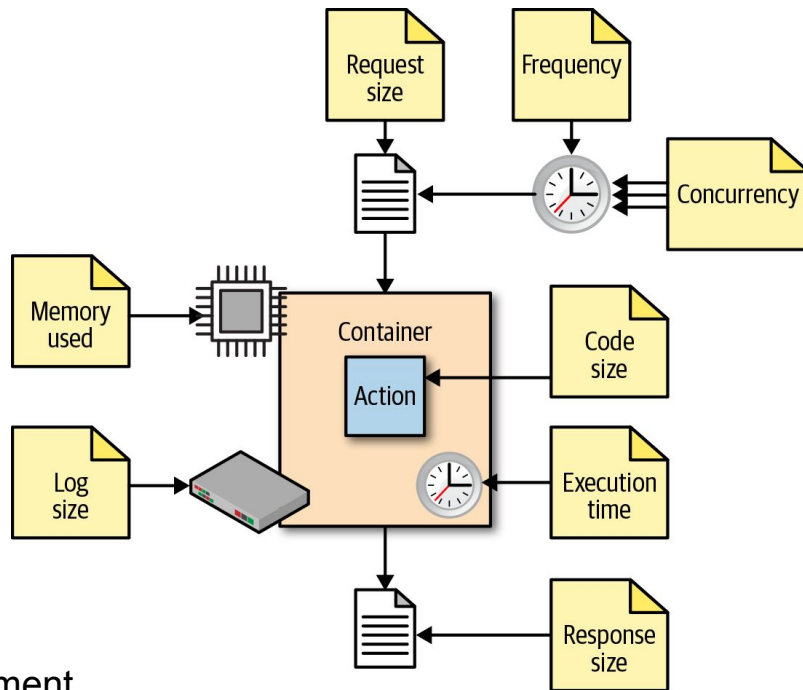
Programmers need to take limitations into account which are specific to the used runtime and software

### Limitations in AWS Lambda:

- **unlimited** concurrency  
(function scale first to region dependent **500-3000** concurrent instances, then **500** more each minute)
- **10240 MiB** max memory usage (def: **128 MiB**)
- **900** sec max execution time (def: **3 sec**)
- **6 MiB** synchronous invocation payload
- **256 KiB** asynchronous invocation payload

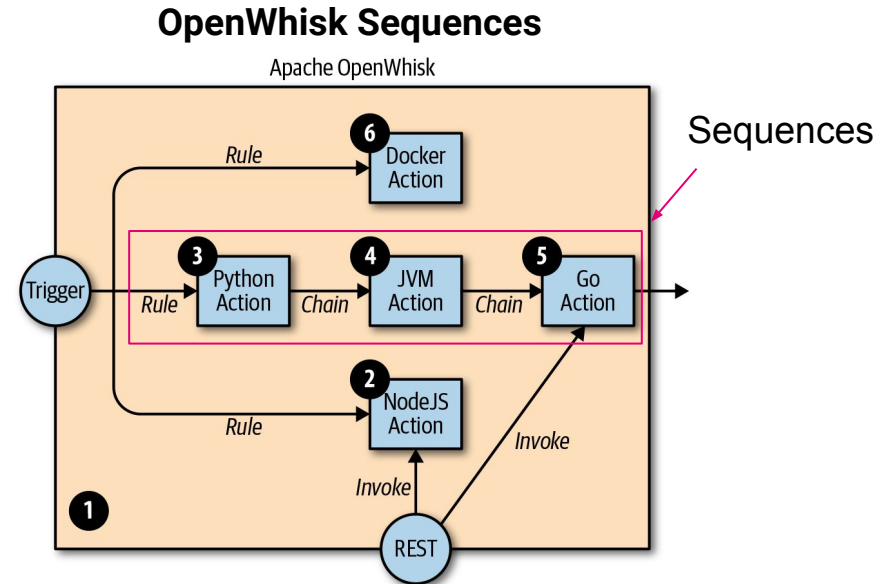
→ payload limits make some use cases hard to implement

### Limitations in OpenWhisk:



## 2.8 Orchestrations, Sequences and Step Functions

Applications might need to be split into smaller parts and chained together to avoid limitations.

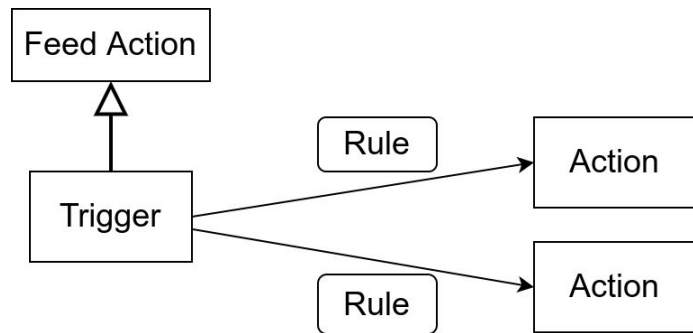


# 3. Events and Triggers

Closer look to their implementation in OpenWhisk

## 3.1 OpenWhisk Event Model

### Feeds, Triggers and Rules



**Feed Action** = Controls lifecycle for a stream of events

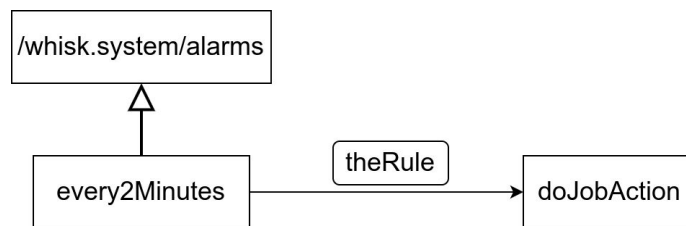
**Trigger** = By parameters specified class of events

**Feed** = Stream of events belonging to a specific trigger

**Rule** = Connects Triggers and Actions

**Action** = The function to execute on each event

### Cronjob Example



### Creating a trigger from a feed:

```
wsk trigger create every2Minutes \
  --feed /whisk.system/alarms/alarm \
  --param cron "*/2 * * * *" \
  --param trigger_payload "{\"key\":\"val\"}"
```

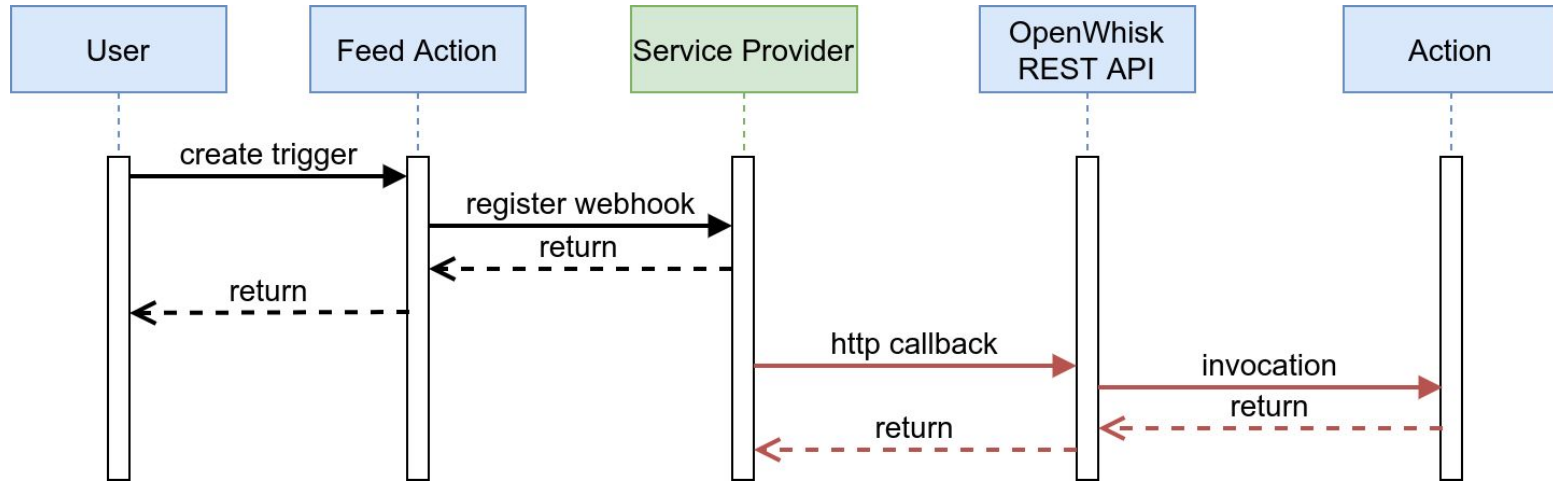
### Creating a rule:

```
wsk rule create theRule every2Minutes doJobAction
```

## 3.2 OpenWhisk Event Model

### a) Webhooks

*“Telegram, send me a http request, if my bot received a new message”*



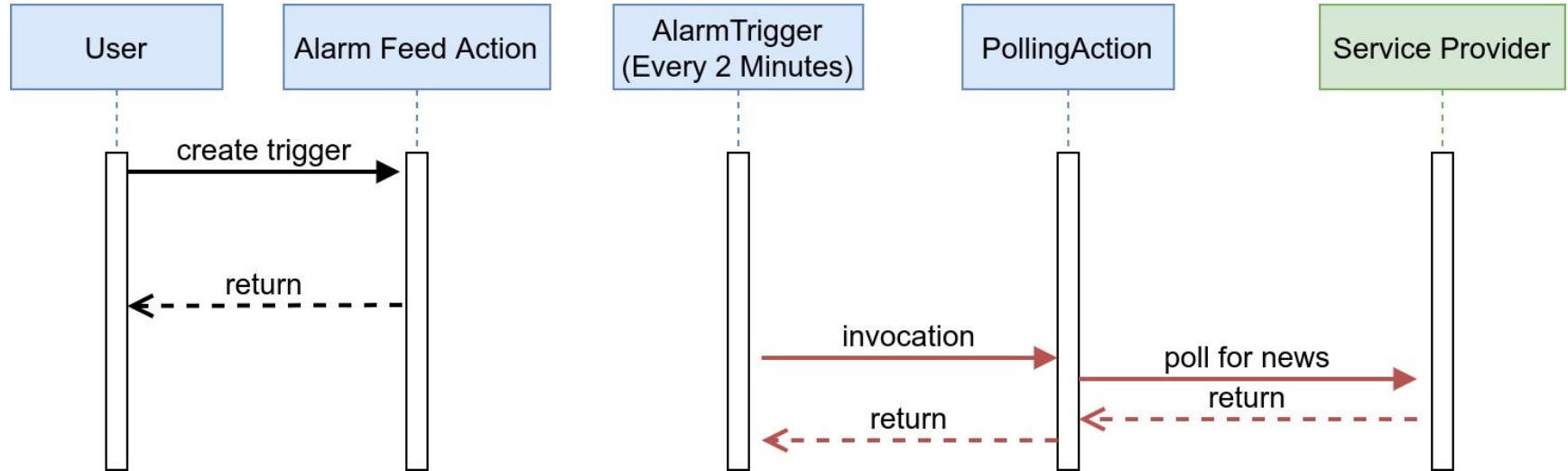
(Creating a trigger is also a usual function invocation of the feed action)

(Triggers are fired through the REST API)

### 3.3 OpenWhisk Event Model

e.g. polling an RSS feed every 2 minutes

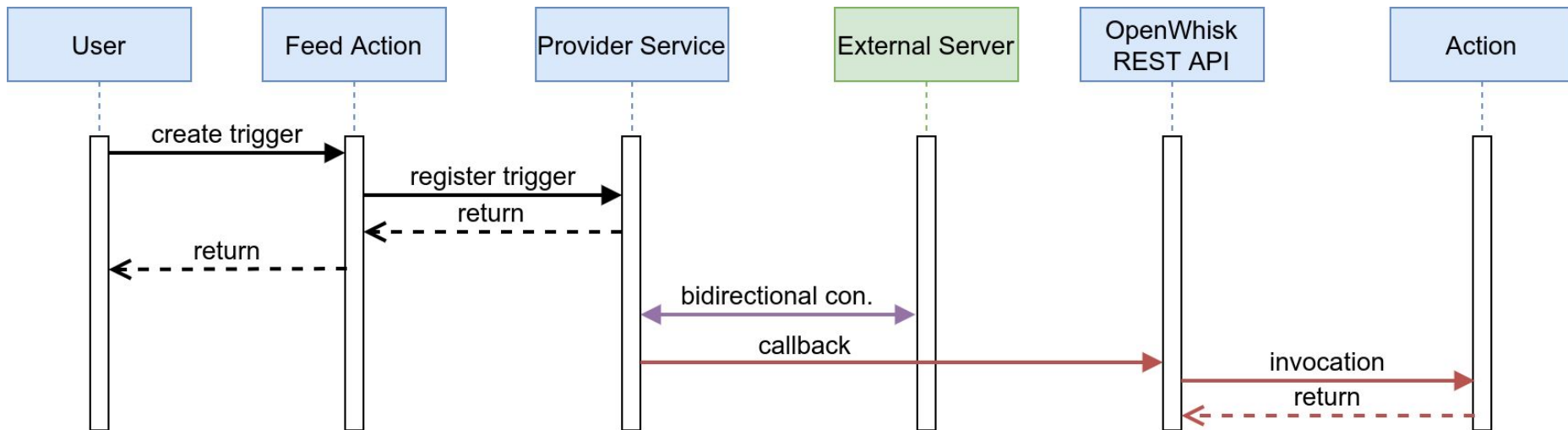
#### b) Polling





## 3.4 OpenWhisk Event Model

c) Connections Pattern      when a persistent connection is necessary  
or performance matters  
(e.g. subscribing to a mqtt topic)



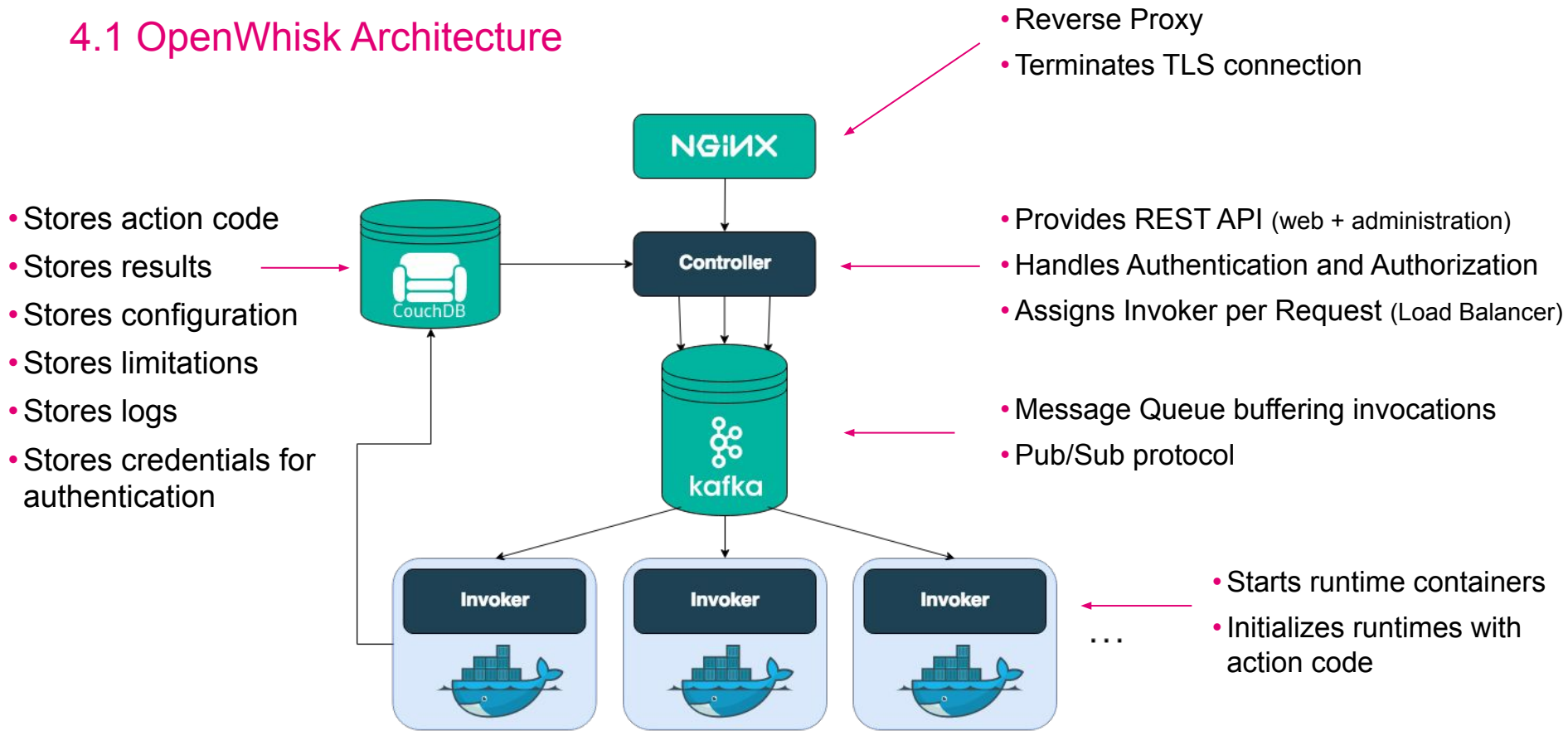
Conclusion: Bridging arbitrary protocols to OpenWhisks REST-Only API is possible!

(Alarm Feed == Provider Service without External Server)


# 4. Architecture

for OpenWhisk and FaaS in general

## 4.1 OpenWhisk Architecture



## 4.2 Relationship between FaaS and container orchestration

- Scaling the number of runtime containers requires interfacing with container orchestration
- Most Self-Hosted serverless software supports  **kubernetes** natively

FaaS Software	Kubernetes Support	Helm Chart available
OpenWhisk	✓	✓
Fn	✓	✓
Kubeless	✓	✓
OpenFaas	✓	✓

→ Other supported platforms are highly implementation dependent

# 5. Networking and Edge

How serverless runtimes can be reached

## 5.1 Task Sharing



### Controlled by developer

- API Endpoints
- Code



### Controlled by OpenWhisk (default setup)

- TLS Termination
- Load Balancing
- Automatic Scaling



### Controlled by kubernetes

- Communication between pods
- Ingress (TLS termination may be done by OpenWhisk)
- Pod scheduling

→ the lower layer networking happens  
an abstraction layer below OpenWhisk

## 5.2 Relationship between Edge Computing and FaaS

The data a function operates on may be a lot bigger

Functions have small size



### Conclusions

- Distributing FaaS-functions world-wide is comparatively easy
- Moving data world-wide may be hard

### The idea: Edge Computing

- Process data where it is generated and/or needed

## 5.3 Different Kinds of Lambda Functions in AWS

### Amazon AWS Lambda

- General Purpose Functions

#### Use-Cases

- Mobile Backends
- Single Page Applications
- Cloud Based Cron Jobs

#### Location

- Single AWS Region

### Amazon AWS Lambda@Edge

- General Purpose Functions

#### Location

- World Wide = All Regions

### Amazon CloudFront Functions

- Small Functions (< 10KiB code size)
- execution on a per request basis

#### Use Cases

- Deliver different files based on User-Agent header
- Optimize Caching

#### Location

- World Wide = All Regions



# 6. Databases, Storage, APIs

Properties of external APIs

## 6.1 AWS Aurora vs. Aurora Serverless



### Amazon AWS Aurora

- Relational Database
- Drop-In Replacement for MySQL and PostgreSQL

### AWS Aurora - Provisioned

#### Billing:

- storage (**per GiB/month**)
- I/O rate (**per 1 Mio req**)
- instance size (**per hour**)  
(e.g. db.t3.medium = 0,082 USD/h)

### AWS Aurora - Serverless

#### Billing for

- storage (**per GiB/month**)
- I/O rate (**per 1 Mio req**)
- Aurora Capacity Unit (**per hour**)  
(1 ACU ~ 2GiB Memory usage)

### Differences in Serverless

*Different billing*  
*+ Autoscales up and down*  
*+ Stateless http "Data API"*





## 6.2 FaaS suitable database and storage APIs

### Properties of database APIs suitable for use in Serverless Computing

- Pay-per-use
- Stateless
- Fast (AWS bills idling)
  - No expensive handshakes
  - Sacrifice database normalization for speed
- Automatic Scaling

→ Same properties like those of FaaS functions!

### Examples of suitable APIs (if pay-per-use)

- NoSQL databases with RESTful API  
CouchDB , MongoDB 
- Object Storage with RESTful API  
Amazon S3 , MinIO , Ceph 
- E-Mail (SMTP)  
Amazon Simple Email Service 
- Publish/Subscribe pattern based Protocols

### Examples of not suitable APIs

- Databases with stateful connection  
MySQL , MariaDB 

## 6.3 Mounted Filesystems via Volumes

### Volumes in Serverless Computing

- Mounted Storage Volumes are usually not supported
- Need to use external APIs for any storage needs

(Notable Exception: Amazon Elastic File System storage is mountable in AWS Lambda)

### Still possible: Using temporary files

- Saving and Reading files is possible as usual
- Files are not guaranteed to exist until next execution

### Use Cases

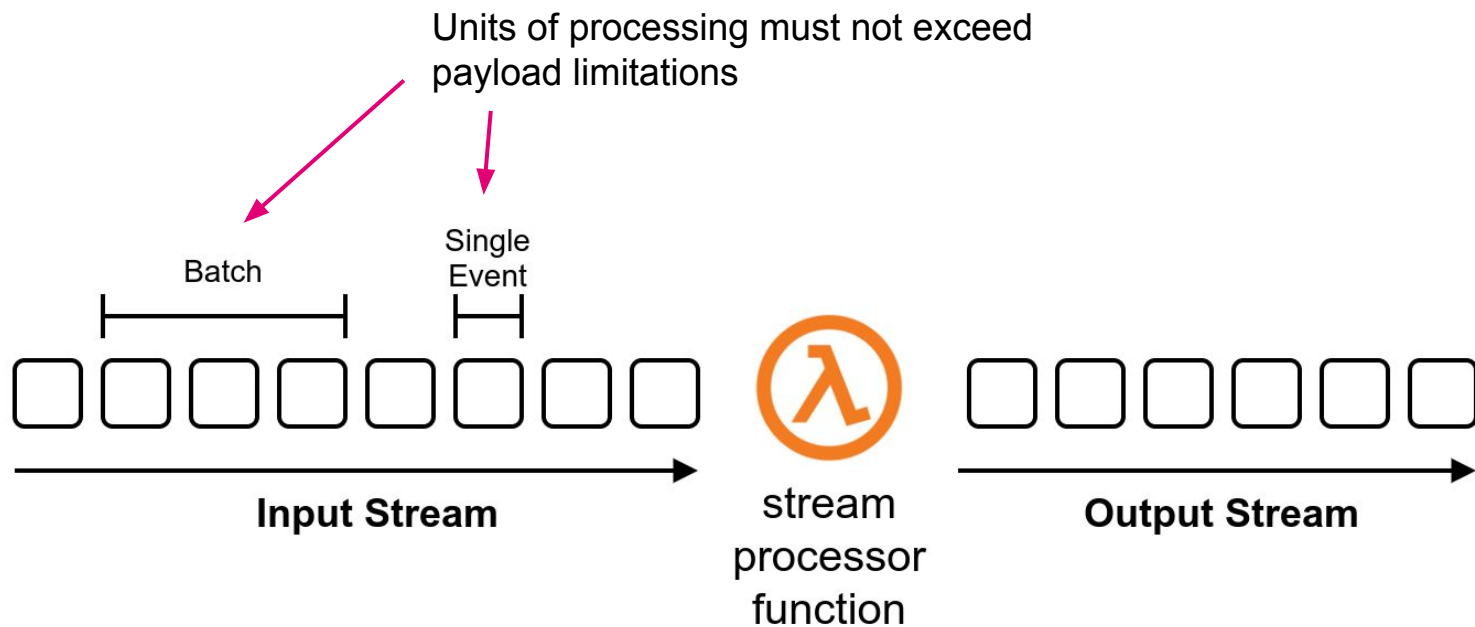
- Caching of downloaded files or previous results → warmer warm start

# 7. Stream processing

Properties and integration with FaaS

## 7.1 Messaging and event streaming

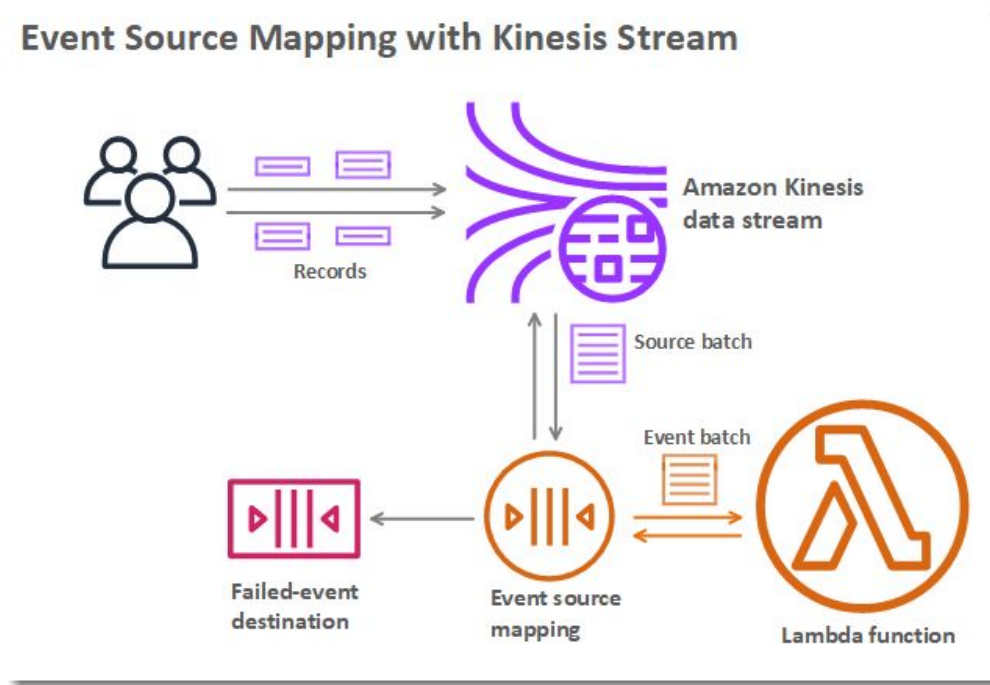
Messaging and event stream processing with FaaS-functions are possible



## 7.2 Messaging and event streaming - AWS Lambda implementation

### Event source mapping in AWS Lambda

#### Event Source Mapping with Kinesis Stream



1. AWS polls new items periodically
2. Lambda function gets batch as input

On Error, the batch will be sent to separate failed-event queue

*OpenWhisk feed implementation for Kafka also uses batching!*

## 7.3 Consequences of event source mapping

### Features distinguishing messaging and event streaming software:

#### Event history

- Is it possible to consume events from the past?



#### Support in event source mapping + Serverless

No, polling is not under control of the function

#### Transactional behaviour

- If using transactions following ACID paradigm is possible

##### Example:

```
Start Transaction  
Consume msg1 from Topic1  
Publish msg1 to Topic2  
Commit transaction
```



As consumer: No  
As producer: Yes



## 7.4 Consequences of event source mapping

### Features distinguishing messaging and event streaming software:

#### Support in event source mapping + Serverless

#### Fine-grained subscriptions

- can topic names be hierarchical?  
*topic-only: dataStreamX*  
*hierarchical: iot/sensors/temperature*



Yes, handled by the feed implementation

#### Scalable number of consumers and messages

- If 10 consumers can be scaled to 100000 consumers easily



Scaling consumers:

Yes, by starting more function containers

Scaling number of messages:

Only if batch size does not exceed payload limits

# 8. Performance

Cold Start Delay and traffic rates

## 8.1 Overhead

### Overhead is inevitable

- Queueing in Kafka
- CouchDB communication
- Cold and Warm start delays
- Function calls to ext. APIs

### User latency perception

- **Up to 0.1 seconds:** The user does not recognize any perceptible delay. Its behavior has an immediate effect.
- **Up to 1 second:** The delay is slightly perceptible, but the flow of work is not interrupted.
- **Up to 10 seconds:** The user must wait and must therefore actively maintain his or her concentration.

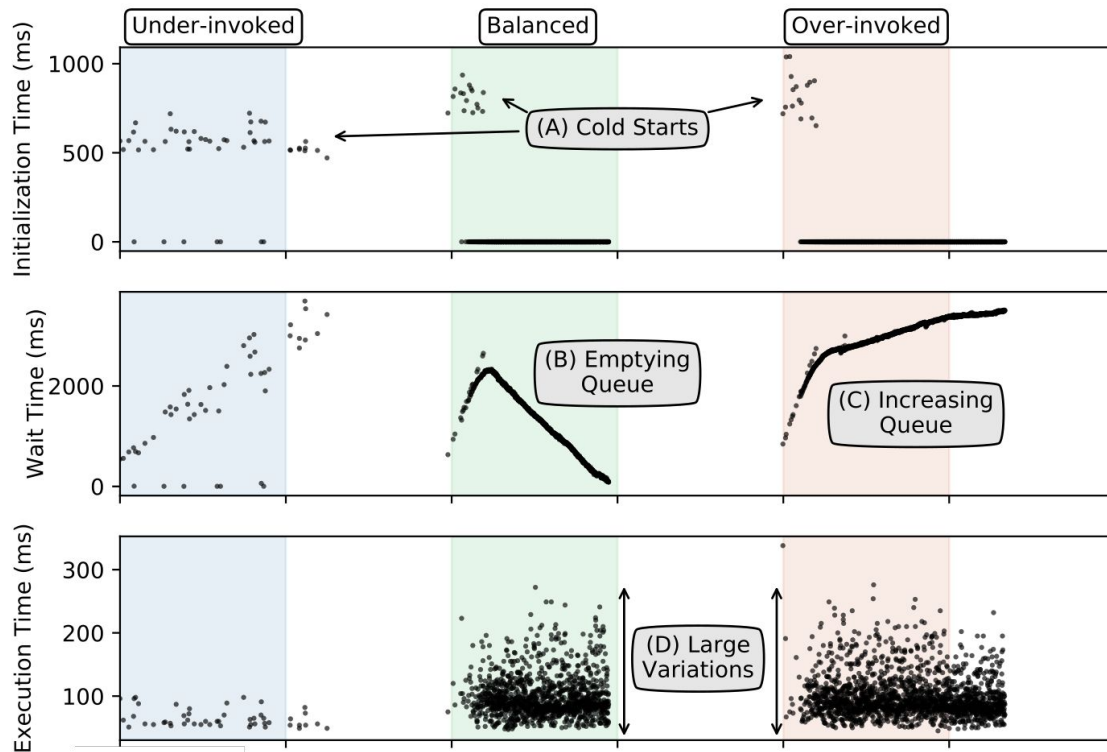
```
~> wsk --insecure activation list
```

Datetime	Activation ID	Kind	Start	Duration	Status	Entity
2021-05-31 09:26:22	1bf8d5ccf2874772b8d5ccf287277211	python:3	cold	2.01s	developer error	guest/flask:0.0.1
2021-05-31 09:26:21	e83ab9c972fd453abab9c972fd753adf	python:3	cold	2.18s	developer error	guest/flask:0.0.1
2021-05-31 09:26:17	0997bc70a7dc403797bc70a7dcf0371d	nodejs:10	cold	83ms	success	guest/hello:0.0.1
2021-05-29 18:11:35	4a0760d47cf0433d8760d47cf0e33d5b	nodejs:10	cold	945ms	application error	guest/forecast:0.0.1
2021-05-06 14:51:26	0c7be97bc3f94b0abbe97bc3f9eb0a32	nodejs:10	cold	34ms	success	guest/hello:0.0.1
2021-05-06 02:09:19	b6157a905de14a76957a905de17a7633	nodejs:10	warm	4ms	success	guest/hello:0.0.1
2021-05-06 02:09:09	00c4295252db476484295252dbf764b5	nodejs:10	warm	7ms	success	guest/hello:0.0.1
2021-05-06 02:08:16	77d69680b96f45bc969680b96f45bc4f	nodejs:10	cold	26ms	success	guest/hello:0.0.1
2021-05-06 02:02:17	e9d27162f69c40c8927162f69ce0c89c	nodejs:10	warm	3ms	success	guest/hello:0.0.1
2021-05-06 02:02:12	d0714748514b462cb14748514b462c74	nodejs:10	warm	4ms	success	guest/hello:0.0.1
2021-05-06 02:02:12	b4e73a8b816045d8a73a8b816005d8a7	nodejs:10	cold	23ms	success	guest/hello:0.0.1
2021-05-06 02:02:10	c9e548bae35f4f61a548bae35f5f61fb	nodejs:10	cold	32ms	success	guest/echo:0.0.1

**Conclusion:** FaaS is in general usable for websites, even on cold start

## 8.2 Cold Start Delay vs. amount of requests

- **Initialization Time** = Runtime container start time
- **Wait Time** = Wait for execution in queue inside OpenWhisk
- **Execution Time** = Actual function execution
- Runtime will be shut down again after idling for some time (for example 45-60 min in AWS Lambda)
- OpenWhisk pauses containers to save memory

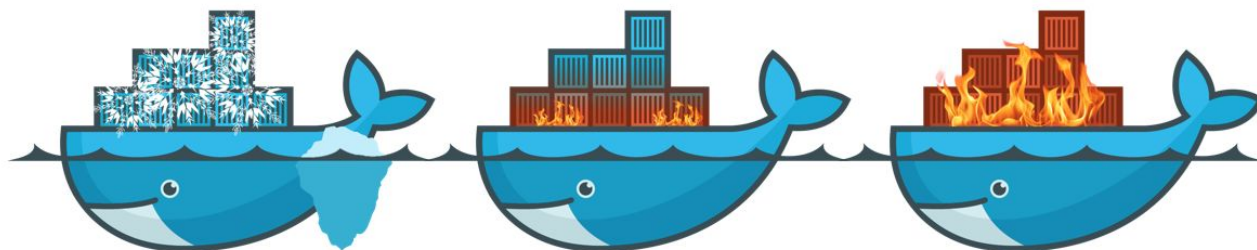


## 8.3 Prewarming

### OpenWhisks invoker launches prewarm containers to accelerate cold starts

Pods			
Name	Labels	Node	Status
✓ wskowdev-invoker-00-6-prewarm-nodejs10	<div>invoker: invoker0</div> <div>name: wskowdev-invoker-00-6-prewarm-nodejs10</div> <div>release: owdev user-action-pod: true</div>	minikube	Running
✓ wskowdev-invoker-00-1-prewarm-nodejs10	<div>invoker: invoker0</div> <div>name: wskowdev-invoker-00-1-prewarm-nodejs10</div> <div>release: owdev user-action-pod: true</div>	minikube	Running
✓ owdev-invoker-0	<div>app: owdev-openwhisk</div> <div>chart: openwhisk-1.0.0</div> <div>controller-revision-hash: owdev-invoker-5cd96b87db</div> <div>heritage: Helm name: owdev-invoker</div> <div><a href="#">Alles anzeigen</a></div>	minikube	Running

## 8.4 Cold vs. Prewarm vs. Warm



What needs to be done?

cold

prewarm

warm

Starting the container

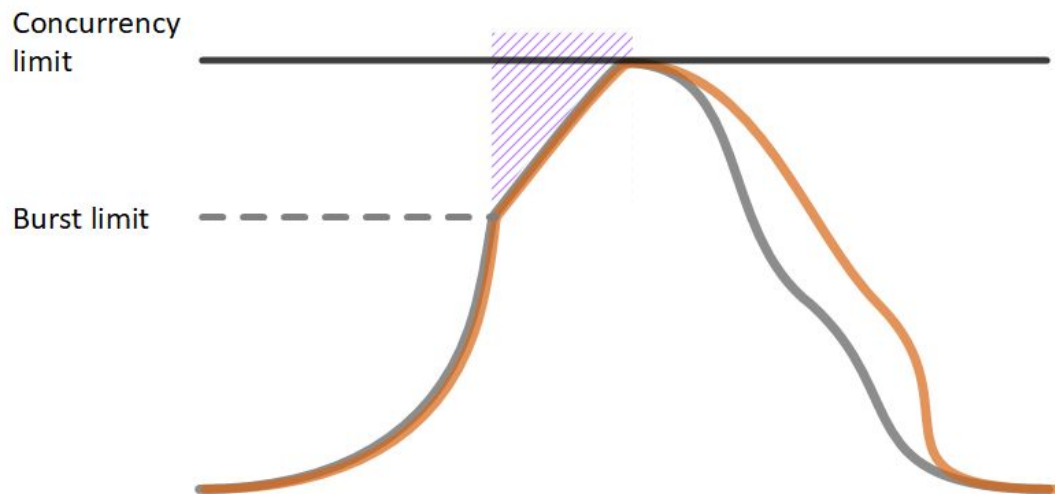
Initializing the action

Running the action



## 8.5 Scaling in AWS Lambda

Function Scaling with Concurrency Limit



### Initially

- Initial burst limited to region dependent burst concurrency quota (*US and EU: 3000*)

### Then

- 500 new instances each minute

### Until

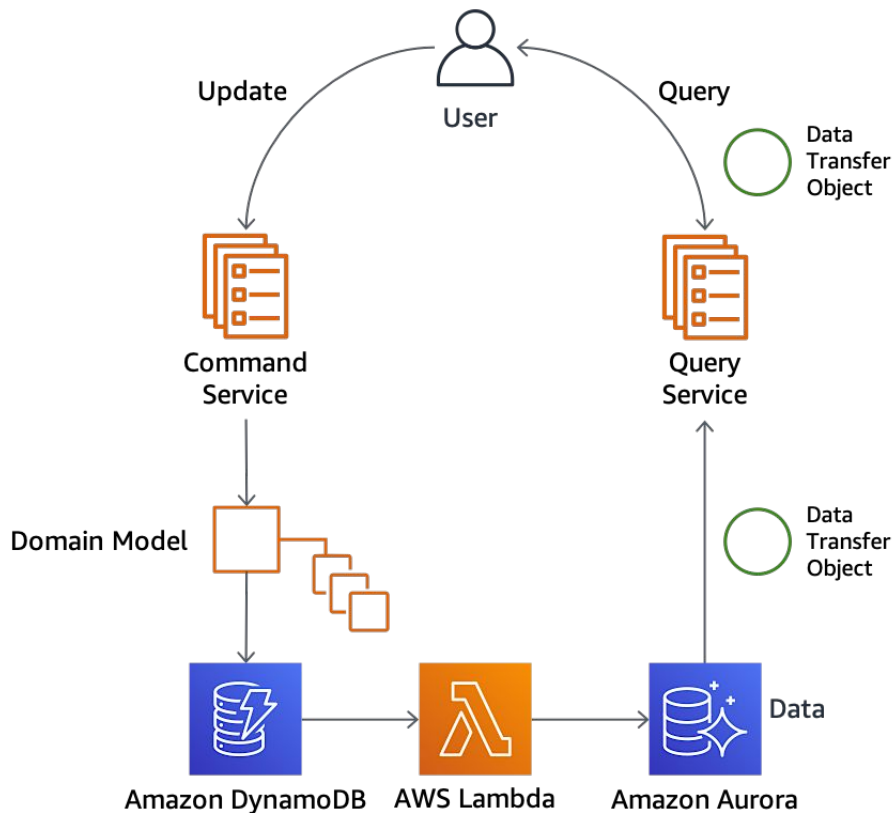
- configurable concurrency limit is reached

# 9. Software Development

Using FaaS in programming



## 9.1 Command-Query-Responsibility-Segregation



### Idea

- Different models for reading and writing data

### Command Model

- Scalable and schema-less database for creating and updating data fast

### Query Model

- Relational database, normalized with schema for complex queries

**Lambda function is triggered on each update and translates between the two models!**

# 10. Function orchestration and keeping state

Workflows and Actors for keeping state and  
splitting work

## 10.1 Synchronous and asynchronous invocations

### Synchronous Invocation

- Blocks until result is available

#### Sync Example (OpenWhisk)

```
wsk action invoke \  
    /whisk.system/samples/greeting --result --blocking  
{  
    "payload": "Hello, World!"  
}
```

### Asynchronous Invocation

- Returns immediately giving an Activation ID
- Client retrieves result later

#### Async Example (OpenWhisk)

```
wsk action invoke \  
    /whisk.system/samples/greeting  
ok: invoked /_/pythonfunction with id  
733404104295414ab404104295c14ae2
```

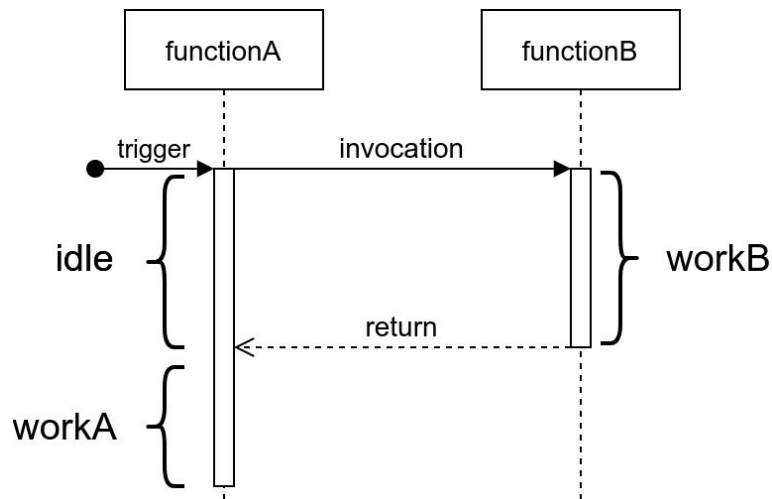
-> *Both available in OpenWhisk and Amazon AWS Lambda*

-> *OpenWhisks internals are unaffected*

*(Queueing, storing results, existence of Activation ID, etc.)*

## 10.2 Double billing

If functions invoke other functions synchronously, the work is billed twice



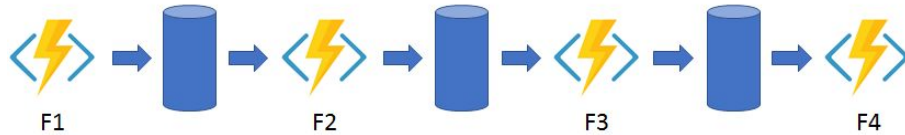
Each call to external APIs also results in billing of idle time!

$$\text{billed\_duration} = \text{idle} + \text{workA} + \text{workB}$$

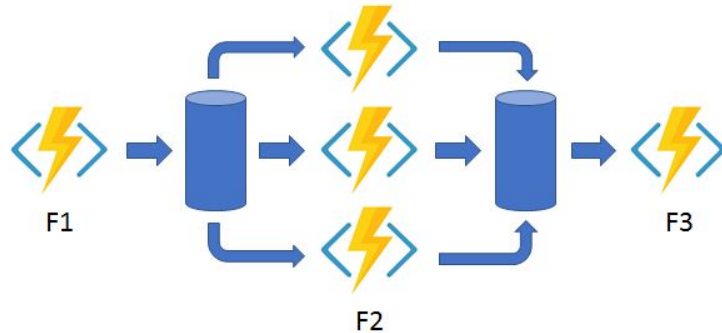
## 10.3 Function Orchestration

### Orchestrate functions based on application patterns

#### Function Chaining




#### Fan-Out



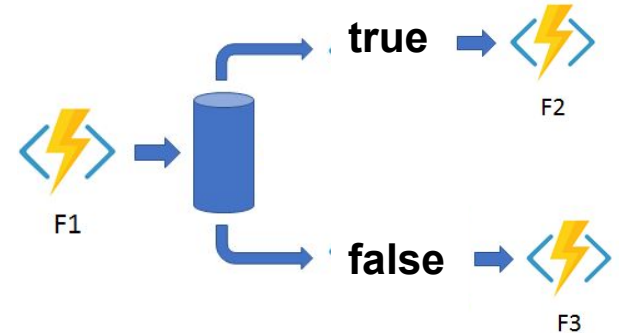
### Software and Services:

AWS Step functions 

Azure Durable Functions 

OpenWhisk Composer 

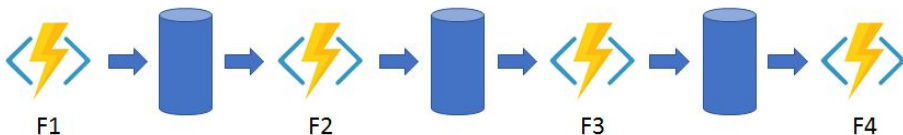
#### Branching



## 10.4 Replaying and Checkpoints

Azure Durable functions uses syntax like in async programming!

### Function Chaining



orchestrator  
function

```
[FunctionName("Chaining")]
public static async Task<object> Run(
    [OrchestrationTrigger] IDurableOrchestrationContext context)
{
    try
    {
        var x = await context.CallActivityAsync<object>("F1", null);
        var y = await context.CallActivityAsync<object>("F2", x);
        var z = await context.CallActivityAsync<object>("F3", y);
        return await context.CallActivityAsync<object>("F4", z);
    }
    catch (Exception)
    {
        // Error handling or compensation goes here.
    }
}
```

activities

## 10.5 Azure Durable Functions - Replaying and Checkpoints

orchestrator function


```
[FunctionName("Chaining")]
public static async Task<object> Run(
    [OrchestrationTrigger] IDurableOrchestrationContext context)
{
    try
    {
        var x = await context.CallActivityAsync<object>("F1", null);
        var y = await context.CallActivityAsync<object>("F2", x);
        var z = await context.CallActivityAsync<object>("F3", y);
        return await context.CallActivityAsync<object>("F4", z);
    }
    catch (Exception)
    {
        // Error handling or compensation goes here.
    }
}
```

activities

### How it works:

- orchestrator function is invoked multiple times → replay
- if an activity already happened result is returned immediately → checkpoint from Table Storage

## 10.6 OpenWhisk - Conductor Actions



```
1 function main(params) {  
2     let step = params.$step || 0  
3  
4     switch (step) {  
5         case 0: return { action: 'actionName', params, state: { $step: 1 } }  
6         case 1: return { params }  
7     }  
8 }
```

### How it works:

- conductor action is invoked multiple times
- the conductor returns values having special meanings:
  - “action” → action to execute next
  - “state” → state, which will be parameter of next conductor invocation



## 10.7 External Callback

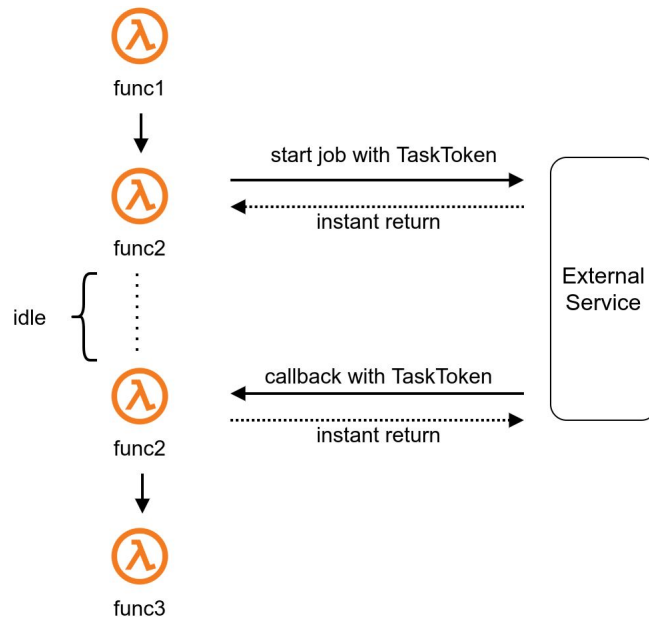
### Waiting for an external Event



#### Examples for events:

- User interaction
- End of asynchronous Job
- Any trigger source

### External Callbacks in AWS Step functions:



- idling step functions may be removed from memory
- AWS supports idling for up to **1 year**  
→ **long-running workflows**

## 10.8 Serverless Trilemma

Desired properties serverless computing architectures:

1. **Black-Box:** Function should be considered as black-box
2. **No Double-Billing:** A function invoking a function should not be billed for idling
3. **Substitution:** A composition of functions should work like a single function

### Serverless Trilemma

- In an event driven system (reactive core) one of the rules must be violated

### ST-Safe

- Any serverless orchestration system that satisfies all three conditions is called ST-safe

### Solution for the Serverless Trilemma

- Sequential Composition

## 10.9 Formal Foundations of Serverless Computing

The formal definition of **operational semantics** for serverless computing can be found in the paper  
→ “Formal Foundations of Serverless Computing”

## 10.10 Reduced performance due to missing state and placement control

### Missing state

- GPU accelerated FaaS functions need to copy data to and from GPU's memory on each invocation
- Cold start delay is direct result of having no state
  - additional overhead through loading of additional libraries on cold start

### Missing placement-control

- More communication needed
  - Transfer required data from external source

## 10.11 Solutions for keeping state

### Solutions (i found so far):

#### Software and Services:

AWS Step functions 

Azure Durable Functions 

OpenWhisk Composer 

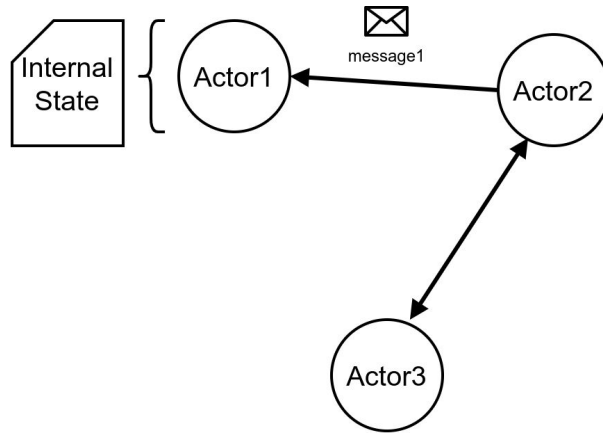
- Function Orchestration via Workflows
  - Workflows keeping a state like State Machines
  - Workflows forwarding a state on each invocation
- Externalize state
  - Fast NoSQL databases
  - “State as a Service”
  - (Is often implicitly the solution applied!)
- Persistent Entities (or Actors)
- Parameterizing proxy



Azure durable  
entity functions

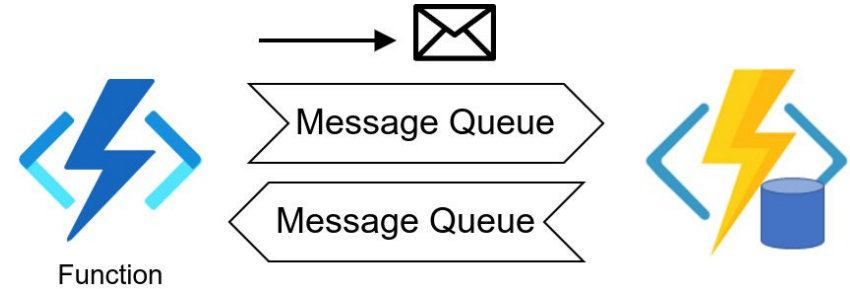
## 10.12 Entities and Actors

### Actor Model



- Actor1 has an internal state
- Access to that internal state only via messages

### Entity functions in Azure Durable Functions



- Entity functions have persistent state
- Access to internal state only via messages
- Messages trigger entity functions in an event-driven way

### Ways to communicate

Signaling: fire-and-forget

Call: blocking call, waiting for response

## 10.13 Externalizing state

### Externalizing state

- Saving and restoring state in an external database, file-system or storage

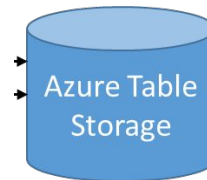


### Storing the state outside of the function is always necessary

- State always needs to be somewhere if the functions and entity functions are supposed to shut down



Azure Durable Functions is Open Source and defines a table storage for persisting the data in its specification



# 11. Economic View

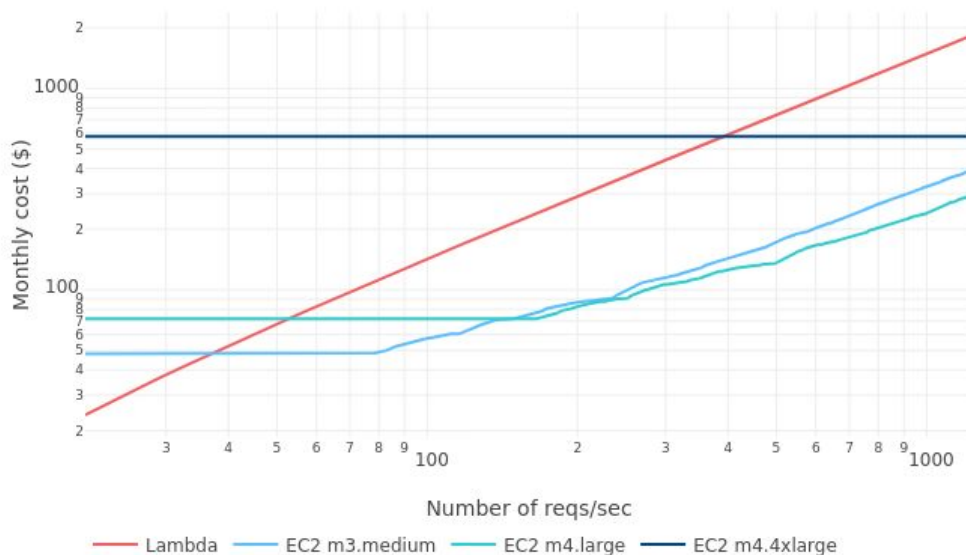
Cost, need for operations and suitable traffic shapes



## 11.1 Exemplary cost calculation - provisioned vs serverless

### AWS Lambda vs AWS EC2 Instances

Monthly cost by number of requests per second



***In this specific example***

*→ A high number of reqs/sec  
makes FaaS uneconomic*

**In general, advantageous cases for:**

Provisioned instances

- Predictable load  
→ tight sizing possible

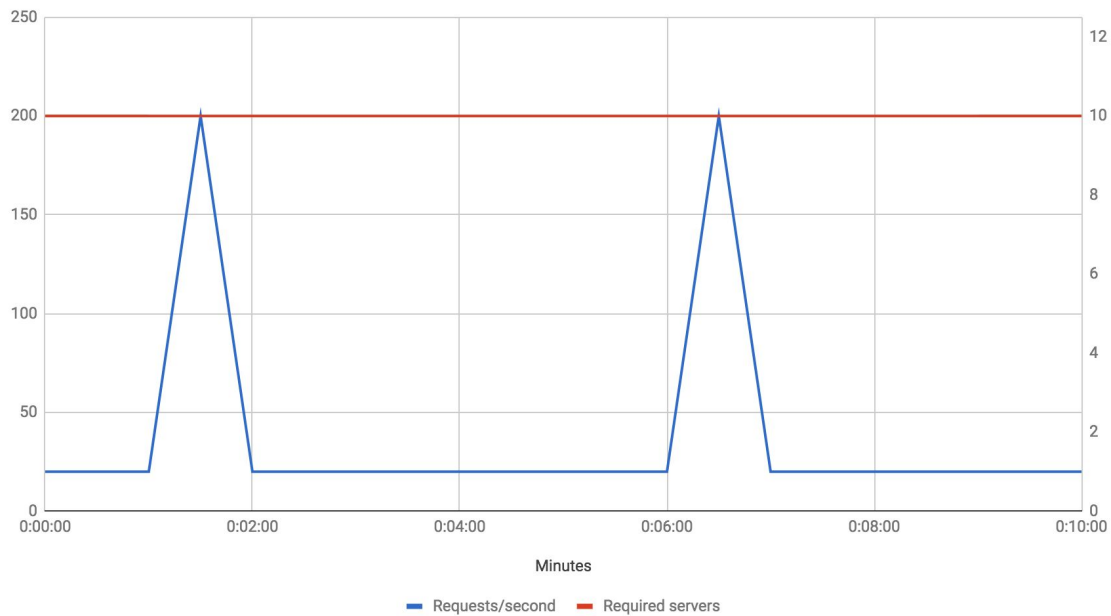
AWS Lambda functions

- Low amount of reqs/sec
- High idle time
- Unpredictable load  
→ no sizing necessary beforehand

## 11.2 Cost effective traffic shapes

### Serverless computing is cost effective and scales well on bursty traffic

Inconsistent traffic pattern: traditional deployment



## 11.3 Predicting cost is hard in AWS Lambda

(Prices for EU Frankfurt)

Memory (MB)	Price per 1ms
128	\$0.0000000021
512	\$0.0000000083
1024	\$0.0000000167
1536	\$0.0000000250
2048	\$0.0000000333

**execution\_time\_price**

**+ price for number of requests**

**+ traffic price inbound and outbound a region**

**+ other services used**

Price	
Requests	\$0.20 per 1M requests

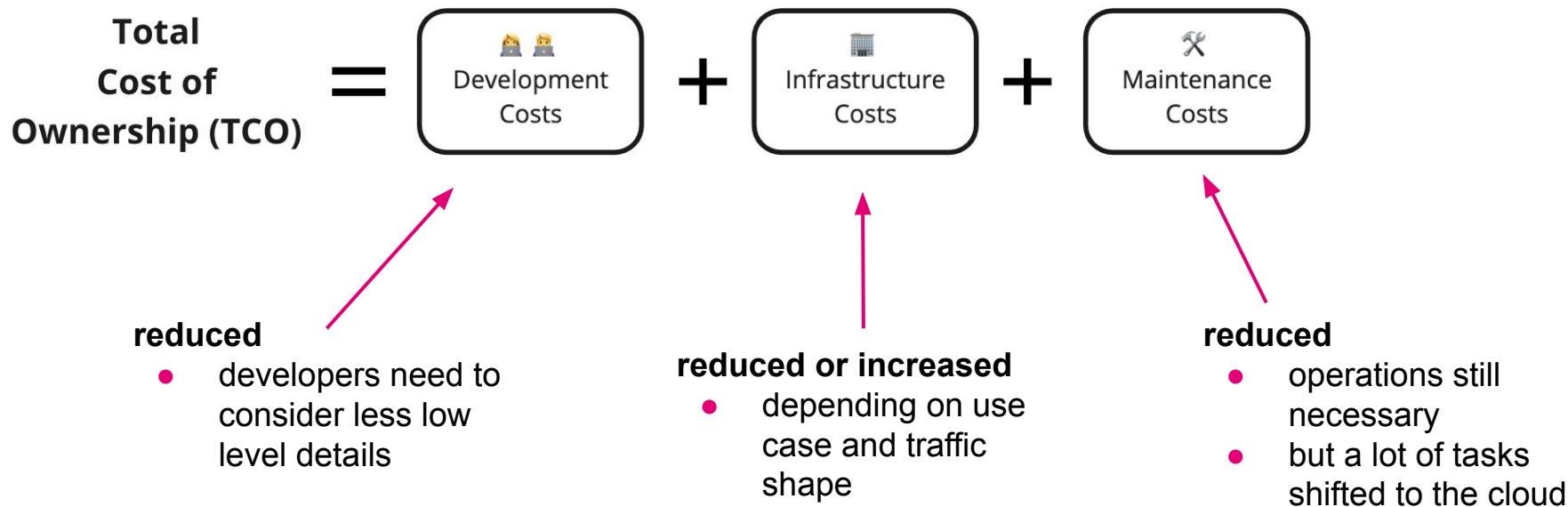
Pricing	
Data Transfer IN To Amazon EC2 From Internet	
All data transfer in	\$0.00 per GB
Data Transfer OUT From Amazon EC2 To Internet	
Up to 1 GB / Month	\$0.00 per GB
Next 9.999 TB / Month	\$0.09 per GB
Next 40 TB / Month	\$0.085 per GB
Next 100 TB / Month	\$0.07 per GB
Greater than 150 TB / Month	\$0.05 per GB

For example: S3 Object Storage

- GB stored per month
- per 1000 requests
- Replication

Price prediction utilities exist, but need precise data

## 11.4 Total cost of ownership



## 11.5 Operations when using FaaS

Does Serverless computing mean we don't need an operations team? -> **No**

### **FaaS software still requires operations:**

- Monitoring
- Logging
- Backup and Recovery strategy
- IT-Security (Intrusion detection, etc.)
- ...

### **But usually cloud provided:**

- Firewall
- Reverse Proxy
- Load Balancers
- Cluster and Nodes
- ...

→ The cloud provider  
itself also needs sysadmins

# 12. Conclusion

Advantages and Disadvantages

## 12.1 Pro and Contra of using FaaS



Operations Expenditure reduced

---

Faster development time

---

Theoretically infinite scalability

---

Bursty traffic shapes can be handled

---

High efficiency and hardware utilization



Traffic spikes may cause cost explosions

---

Cost prediction difficult

---

Developers needs to handle tight execution time and resource limits

---

Existing architectures need to be redesigned

## 12.2 Pro and Contra of using FaaS in the cloud



No permanently running servers

---

High scalability

---

Pay per Use

---

High efficiency

---

Lower CapEx



Traffic spikes may cause cost explosions

---

Vendor Lock-In

---

OpEx not necessarily cheaper



## 12.3 Opinions on technology readiness

### Opinion on technology readiness (limited to AWS Lambda and OpenWhisk)

#### General

- Performance seems to be sufficiently optimized
  - Prewarming, etc.
- Technology is old enough for being sufficiently stable
  - AWS Lambda released 2014
- Ecosystem underdeveloped
  - No interoperability (like OCI with containers)
  - Availability of Ready-to-Use event sources and extensions limited
- Need for stateful computation not sufficiently solved

#### OpenWhisk

- No easy sharing of packages

#### AWS Lambda

- Easy sharing of packages only inside AWS Lambda

## 12.4 Problems of this presentation

- Some aspects would need more good measurements from practical applications, going by a few examples is not sufficient
  - comparing FaaS cost vs. provisioned
  - practical view on cold-start- and warm-start-delay

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